

**Response to Missing  
Requirements Under  
35 USC § 371**

Attorney Docket No.: RJENK41.0000APC

First Named Inventor: Xiaobao Chen

Int'l Application No.: PCT/GB03/04812

US Application No.: 10/534,662

Entered National Phase: May 10, 2005

Title: FILTERING DATA PACKETS AT A NETWORK  
GATEWAY WORKING AS A SERVICE-BASED POLICY  
(SBLP) ENFORCEMENT POINT

#6

**Direct all correspondence to Customer No.: 20995**

Date: November 18, 2005

Page 1 of 2

**Mail Stop PCT**

United States Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

November 18, 2005

(Date)

*Tiffany Miller*  
Tiffany Miller, Reg. No. 52,032

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- (X) Copy of the Notification of Missing Requirements under 35 USC 371 dated September 30, 2005.
- (X) An Oath or Declaration signed by the inventors (35 USC 371(c)(4)) in 2 pages.
- (X) Power of attorney form and copy of assignment in 2 pages.
- (X) Information Disclosure Statement and Form PTO/SB/08 Equivalent in 2 total pages (IDS and 1449).
- (X) Ten (10) references listed.
- (X) Eight (8) references enclosed.
- (X) Return prepaid postcard.

**FILING FEES NOT YET PAID:**

FEE CALCULATION				
FEE TYPE		LARGE FEE	CALCULATION	TOTAL
Late Oath/Decl.	37 CFR § 1.492(h)	1617 (\$130)		\$130
			<b>TOTAL FEE DUE</b>	<b>\$130</b>

(X) A check in the amount of \$130 is enclosed to cover the above fees.

11/28/2005 GFREY1 00000037 10534662

01 FC:1617

130.00 OP

**Response to Missing  
Requirements Under  
35 USC § 371**

Attorney Docket No.: RJENK41.003APC

First Named Inventor: Xiaobao Chen

Int'l Application No.: PCT/GB03/04812

US Application No.: 10/534,662

Entered National Phase: May 10, 2005

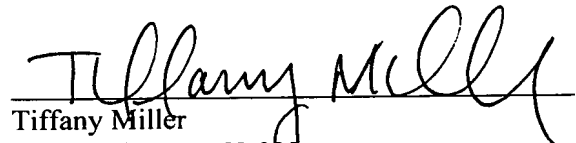
Title: FILTERING DATA PACKETS AT A NETWORK  
GATEWAY WORKING AS A SERVICE-BASED POLICY  
(SBLP) ENFORCEMENT POINT

**Direct all correspondence to Customer No.: 20995**

Date: November 18, 2005

Page 2 of 2

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.



Tiffany Miller

Registration No. 52,032

Agent of Record

Customer No. 20,995

(619) 235-8550

2076682  
111705

RJENK41.003APC MK



## UNITED STATES PATENT AND TRADEMARK OFFICE

Rec'd PCT/PTO 22 NOV 2005

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/534,662	Xiaobao Chen	RJENK41.003APC

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 2040 MAIN STREET  
 FOURTEENTH FLOOR  
 IRVINE, CA 92614

INTERNATIONAL APPLICATION NO.	
PCT/GB03/04812	
I.A. FILING DATE	PRIORITY DATE
11/07/2003	11/11/2002

CONFIRMATION NO. 6514  
 371 FORMALITIES LETTER



\*OC000000017139492\*

Date Mailed: 09/30/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/10/2005
- Copy of the International Search Report filed on 05/10/2005
- Preliminary Amendments filed on 05/10/2005
- Request for Immediate Examination filed on 05/10/2005
- U.S. Basic National Fees filed on 05/10/2005
- Priority Documents filed on 05/10/2005
- Specification filed on 05/10/2005
- Claims filed on 05/10/2005
- Abstracts filed on 05/10/2005
- Drawings filed on 05/10/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/534,662	PCT/GB03/04812	RJENK41.003APC

FORM PCT/DO/EO/905 (371 Formalities Notice)